



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/822,944	04/12/2004	Dana Lee	2102397-993000

CONFIRMATION NO. 4462

26379  
GRAY CARY WARE & FREIDENRICH LLP  
2000 UNIVERSITY AVENUE  
E. PALO ALTO, CA 94303-2248

## FORMALITIES LETTER



\*OC000000013060989\*

Date Mailed: 06/24/2004



## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 1a, 3a, 4a-4j, 5a, 5b, 5e, 5f, 7, 9a, 9c were too dark..

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

T.L.Va

Customer Service Center

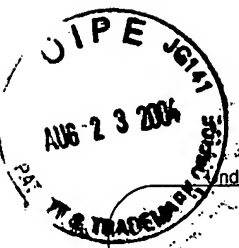
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

RECEIVED

JUN 29 2004

GRAY CARY  
WARE & FREIDENRICH



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/822,944	
	Filing Date	April 12, 2004	
	First Named Inventor	Dana LEE	
	Art Unit	2818	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	17	Attorney Docket Number	2102397-993000

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): 1. Copy of Notice to File Corrected Application Papers; 2. Transmittal of Formal Drawings; and 3. Return Post Card.
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Or Individual name	Ronald L. Yin, Reg. No. 27.607
Signature	<i>Ronald L. Yin</i>
Date	August 20, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Rosa A. Caviedes	
Signature	<i>Rosa A. Caviedes</i>	Date August 20, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

American LegalNet, Inc.  
www.USCourtForms.com



Attorney Docket No.: 2102397-993000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dana LEE

Serial No. 10/822,944

Group Art Unit: 2818

Filed: April 12, 2004

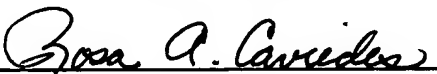
Examiner: Not yet assigned

Title: **ISOLATION-LESS, CONTACT-LESS ARRAY OF NONVOLATILE MEMORY CELLS EACH HAVING A FLOATING GATE FOR STORAGE OF CHARGES, AND METHODS OF MANUFACTURING, AND OPERATING THEREFOR**

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

August 20, 2004

  
Rosa A. Caviedes

\* \* \*

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

**M/S MISSING PARTS**

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

In response to the "Notice to File Corrected Application Papers" mailed by the United States Patent and Trademark Office on June 24, 2004, the following documents are enclosed to complete the filing of the above-referenced patent application:

1. Transmittal Form;
2. Response to Notice to File Corrected Application Papers (2 pgs.);
3. Copy of Notice to File Corrected Application Papers (1 pg.);
4. Transmittal of Formal Drawings (2 pgs.);
5. Eleven (11) sheets of formal drawings; and
6. Return Postcard.

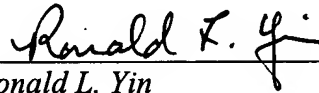
Attorney Docket No.: 2102397-993000

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: August 20, 2004

By   
Ronald L. Yin  
Reg. No. 27,607  
Attorney for Applicants

Gray Cary Ware & Freidenrich LLP  
2000 University Avenue  
East Palo Alto, CA 94303-2248  
Telephone: (650) 833-2437  
Facsimile: (650) 833-2001



Attorney Docket No.: 2102397-993000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dana LEE

Serial No. 10/822,944

Group Art Unit: 2818

Filed: April 12, 2004

Examiner: Not yet assigned

**Title: ISOLATION-LESS, CONTACT-LESS ARRAY OF NONVOLATILE MEMORY CELLS EACH HAVING A FLOATING GATE FOR STORAGE OF CHARGES, AND METHODS OF MANUFACTURING, AND OPERATING THEREFOR**

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

August 20, 2004

Rosa A. Caviedes

\*\*\*

**TRANSMITTAL OF FORMAL DRAWINGS**

**ATTN: OFFICIAL DRAFTSPERSON**  
M/S MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are eleven (11) sheets of formal drawings for the above-identified application.

Please address all future communications regarding this application to:

Ronald L. Yin  
GRAY CARY WARE FREIDENRICH LLP  
2000 University Avenue  
East Palo Alto, CA 94303-2248

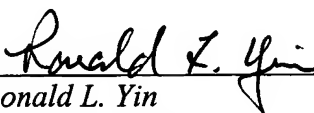
Please direct all telephone calls to Ronald L. Yin (650) 833-2437.

Please charge any additional fees, including any fees necessary for extensions of time, or credit overpayment to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: August 20, 2004

By   
Ronald L. Yin  
Reg. No. 27,607  
Attorney for Applicants

Gray Cary Ware & Freidenrich LLP  
2000 University Avenue  
East Palo Alto, CA 94303-2248  
Telephone: (650) 833-2437  
Facsimile: (650) 833-2001